



12/31/01

AUS01:264493.1

11050 U.S. PTO

01 - 07 - 02

Attorney's Docket: 066101.0315

PATENT APPLICATION

1

A

TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 CFR §1.53(b)**Box Patent Application**  
ASSISTANT COMMISSIONER  
OF PATENTS  
Washington, D.C. 20231

Attorney's Docket: 066101.0315

Sir:

Transmitted herewith for filing is the original patent application of:

**Inventor:** Brian C. Smith**Assignee:** TippingPoint Technologies, Inc.**For:** SYSTEM AND METHOD FOR CLASSIFYING NETWORK  
PACKETS WITH PACKET CONTENT

Enclosed are:

- (1) 31 pages of Specification, Claims and Abstract;
- (2) 3 pages of Executed Declaration and Power of Attorney;
- (3) 3 pages of Formal Drawings;
- (4) 1 page executed Verified Statement Claiming Small Entity Status under 37 C.F.R. §§ 1.9(f) and .1.27(c) Small Business Concern;
- (5) 2 pages of an Assignment of the invention to TippingPoint Technologies, Inc.;
- (6) 1 page of a separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h);
- (7) 3 pages Information Disclosure Statement with PTO-1449 Form (1 page) & attached references Acco bound (1 reference);
- (8) 1 page Certificate of Mailing by Express Mail; and
- (9) This Transmittal Form with attached patent application filing fee in the amount of \$484.00.

FEE CALCULATION				FEE
	Number		Number Extra	Basic Fee \$370.00
Total Claims	28	20	8	X \$ 9 = 72.00
Independent Claims	4	3	1	X \$42 = 42.00
TOTAL FILING FEE =				\$484.00

Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P. A duplicate copy of this sheet is enclosed.

BAKER BOTTS L.L.P.  
Attorneys for Applicants



Adam L. Stroud  
Registration No. 48,410

Date: 12/31/2001

Correspondence Address:  
Robert W. Holland  
Baker Botts L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, Texas 75201-2980  
Tel. 512.322.2693  
Fax. 512.322.2501

10036638.122414

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Brian C. Smith  
Date Filed: December 31, 2001  
Title: SYSTEM AND METHOD FOR  
CLASSIFYING NETWORK PACKETS WITH  
PACKET CONTENT

Box Patent Application  
Honorable Assistant Commissioner  
of Patents  
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Transmittal, Verified Statement Claiming Small Entity Status, Patent Application, Declaration and Power of Attorney, Formal Drawings, Assignment, PTO-1595 Assignment Recordation Cover Sheet, Information Disclosure Statement and PTO-1449 with reference, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on this 31st day of December, 2001, and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

  
Amy Rueff

Express Mail Receipt  
No. EL923191197US  
Attorney's Docket:  
066101.0315